

INVESTOR PROFILE QUESTIONNAIRE

1 What is your investment time horizon?

- A Under 3 years Score = 0
- B 3 – 5 years Score = 2
- C 6 – 10 years Score = 6
- D 11 – 15 years Score = 10
- E 15 + years Score = 15

Score

2 What is your most important investment goal?

- A I want my investments to be secure, provide me with modest income now, or to fund a large expense within the next few years. Score = 0
- B I want my investments to provide a maximum amount of income now. Score = 4
- C I want my investments to grow and I am less concerned about income. I am comfortable with moderate market fluctuations. Score = 6
- D I am more interested in having my investments grow over the long-term. I am comfortable with short-term volatility. Score = 10
- E I want long-term aggressive growth and am willing to accept significant short-term market fluctuations. Score = 15

Score

3 Which of the following would best describe your level of investment knowledge?

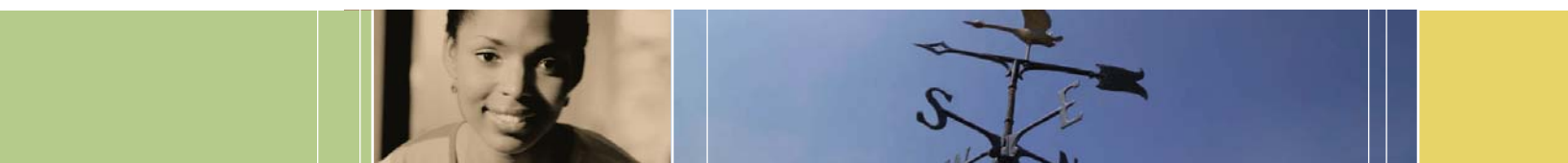
- A Very Limited Score = 0
- B Basic Score = 2
- C Average Score = 3
- D Extensive Score = 5

Score

4 Please indicate which statement reflects your overall view on managing risk.

- A I am risk averse and not prepared to expose my investments to any fluctuations in order to earn higher long-term returns. Score = 0
- B I am prepared to experience modest fluctuations in order to generate a maximum amount of income. Score = 2
- C I am prepared to experience average fluctuations in order to achieve a higher long-term return. Score = 6
- D I want to maximize my long-term returns and am comfortable with significant fluctuations. Score = 10

Score

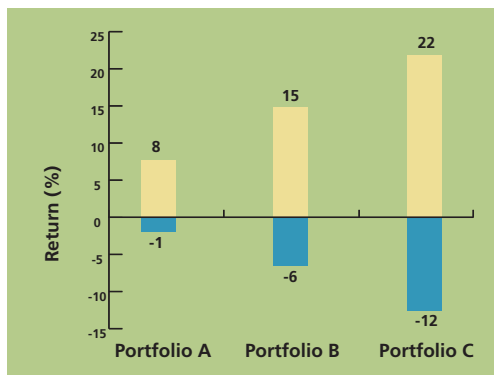


5 If you owned an investment that fell by 20% over a period of six to nine months, what would you do?

- A Sell all of the remaining investment Score = 0
- B Sell a portion of the remaining investment Score = 4
- C Hold the investment and sell nothing Score = 6
- D Buy more of the investment Score = 10

Score

6 The following portfolios show a best and worst range of return possible in any given year. Which portfolio would you be most likely to hold?



- A Portfolio A Score = 0
- B Portfolio B Score = 3
- C Portfolio C Score = 5

Score

7 If you could increase your chances of improving your investment returns by taking more risk, would you:

- A Be unlikely to take more risk Score = 0
- B Be willing to take a little more risk with some of your investments Score = 4
- C Be willing to take a lot more risk with some of your investments Score = 7
- D Be willing to take a lot more risk with all of your investments Score = 10

Score

8 What type of investor are you?


- A You may be reaching retirement or simply prefer to take less risk. Security of capital is your biggest concern. Score = 0
- B You want your investments to generate income. You are willing to accept some risk to achieve higher income. Score = 4
- C You want a balance between growth and security. You are willing to accept some risk for potential higher returns over time. Score = 8
- D Growth is more important but security is still a factor. You are willing to accept risk for potential higher returns over time. Score = 11
- E The growth of your money is your main concern and you plan to be invested for a long time. You are very comfortable with riding out the ups and downs of the market for potential higher long-term results. Score = 15

Score

Total Score* =

*If you have answered B to either 2, 4 or 8 then you are considered an Income Investor.

INVESTOR PROFILE

Score	Simplicity Portfolios		Expected Risk
0 – 10	Conservative	Investment horizon is short, prefer to take less risk. Security is most important	<div style="text-align: center;"> <p>Lower</p>  <p>Higher</p> </div>
11 – 20	Moderate	Investment horizon is relatively short, prefer to take less risk. Security is very important	
21 – 40	Income	Short to medium time horizon, seeking income generation and long-term growth potential with security	
41 – 55	Balanced	Longer investment horizon, willing to accept some risk for potential higher returns over time	
	or Global Balanced	Longer investment horizon, willing to accept some risk for potentially higher returns over time and looking to diversify outside Canada	
56 – 75	Growth	Longer time horizon, will accept risk for potentially higher returns over time	
> 76	Aggressive	Long time horizon, growth is main concern, comfortable riding out market volatility for potentially higher returns	

Profile: _____ Date: _____

Client Signature: _____ Advisor Signature: _____



For more information, or a personalized Investment Policy Statement, please speak to your advisor.



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